



AP12 Rec'd PCT/PTO 11 FEB 2008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Tetsuji TOGAWA et al. : Confirmation No. 7353
Serial No. 10/559,135 : Mail Stop: MISSING PARTS
Filed December 1, 2005 : Attorney Docket No. 2005_1890A
SUBSTRATE POLISHING APPARATUS AND
SUBSTRATE POLISHING METHOD :

PATENT OFFICE FEE TRANSMITTAL FORM

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

Sir:

Attached hereto is a check in the amount of \$530.00 to cover Patent Office fees relating to filing the following attached papers:

Late filing of executed Declaration \$130.00
Additional Claims Fee Transmittal Letter
Independent \$400.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Tetsuji TOGAWA et al.

02/12/2008 LLANDGRA 00000045 230975 10559135

01 FC:1617 130.00 OP
02 FC:1614 20.00 DA 400.00 OP

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February 11, 2008

[Check No. 84600]
2005_1890A



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Confirmation No 7353**
Tetsuji TOGAWA et al. : **Mail Stop: PCT**
Serial No. 10/559,135 : **Attorney Docket No. 2005_1890A**
Filed December 1, 2005 :

SUBSTRATE POLISHING APPARATUS
AND SUBSTRATE POLISHING METHOD
[Corresponding to PCT/JP2004/008855
Filed June 17, 2004]

REPLY TO NOTIFICATION OF MISSING REQUIREMENTS
UNDER 35 USC 371

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975**

Sir:

In response to the PTO Notification of Missing Requirements Under 35 USC 371 dated January 31, 2008, submitted herewith is a Declaration for the above application executed by the inventors.

Also enclosed are the PTO surcharge of **\$130.00** required by 37 CFR 1.492(h), the Additional Claims Fee of **\$400.00**, and a copy of the PTO notice.

It is respectfully submitted that the application is now complete, and early indication thereof is now requested.

Respectfully submitted,

Tetsuji TOGAWA et al.

By

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February 11, 2008



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/559,135	Tetsuji Togawa	2005-1890A

513
WENDEROTH, LIND & PONACK, L.L.P.
2033 K STREET N. W.
SUITE 800
WASHINGTON, DC 20006-1021

INTERNATIONAL APPLICATION NO.	
PCT/JP04/08855	
I.A. FILING DATE	PRIORITY DATE
06/17/2004	06/18/2003

CONFIRMATION NO. 7353
371 FORMALITIES LETTER



Date Mailed: 01/31/2008

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371
IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- Priority Document
- Copy of the International Application filed on 12/01/2005
- Copy of the International Search Report filed on 12/01/2005
- Information Disclosure Statements filed on 12/01/2005
- Oath or Declaration filed on 12/01/2005
- Request for Immediate Examination filed on 12/01/2005
- U.S. Basic National Fees filed on 12/01/2005
- Priority Documents filed on 12/01/2005
- Specification filed on 12/01/2005
- Claims filed on 12/01/2005
- Abstracts filed on 12/01/2005
- Drawings filed on 12/01/2005

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The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$400 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$530 for a Large Entity:

- \$130 Surcharge.

Total additional claim fee(s) for this application is \$400
• \$400 for 3 independent claims over 3.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

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